

# **EXHIBIT B**

**CUSTOMER CLAIM**

Claim Number \_\_\_\_\_

Date Received \_\_\_\_\_

**BERNARD L. MADOFF INVESTMENT SECURITIES LLC**

In Liquidation

**DECEMBER 11, 2008**

Irving H. Picard, Esq.  
Trustee for Bernard L. Madoff Investment Securities LLC  
Claims Processing Center  
2100 McKinney Ave., Suite 800  
Dallas, TX 75201

Provide your office and home telephone no.

OFFICE: Jay e Neville LLP  
(212) 696-1999

HOME: \_\_\_\_\_

Taxpayer I.D. Number (Social Security No.)  
\_\_\_\_\_



1K0142

LAURENCE KAYE  
275 PUFFIN COURT  
FOSTER CITY, CA 94404 1318

(If incorrect, please change)

**NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.**

\*\*\*\*\*

1. Claim for money balances as of **December 11, 2008**:

a. The Broker owes me a Credit (Cr.) Balance of \$ 0/N/A  
b. I owe the Broker a Debit (Dr.) Balance of \$ 0/N/A

- c. If you wish to repay the Debit Balance,  
please insert the amount you wish to repay and  
attach a check payable to "Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC."

If you wish to make a payment, it must be enclosed  
with this claim form.

\$ 0  
0

- d. If balance is zero, insert "None."

2. Claim for securities as of **December 11, 2008:**

**PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.**

- |   | <u>YES</u> | <u>NO</u> |
|---|------------|-----------|
| a. The Broker owes me securities        | <u>✓</u>   | <u></u>   |
| b. I owe the Broker securities          | <u></u>    | <u>✓</u>  |
| c. If yes to either, please list below: |            |           |

Date of Transaction (trade date)	Name of Security	Number of Shares or Face Amount of Bonds	
		The Broker Owes Me (Long)	I Owe the Broker (Short)
<u></u>	<u>Please see the November 30,</u>	<u></u>	<u></u>
<u></u>	<u>2008 Account Statement</u>	<u></u>	<u></u>
<u></u>	<u>attached as Exhibit A.</u>	<u></u>	<u></u>
<u></u>	<u></u>	<u></u>	<u></u>
<u></u>	<u></u>	<u></u>	<u></u>

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or

**information regarding any withdrawals you have ever made or payments received from the Debtor.**

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

**PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.**

**NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.**

	<u>YES</u>	<u>NO</u>
3. Has there been any change in your account since December 11, 2008? If so, please explain.	_____	_____✓
4. Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?	_____	_____✓
5. Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?	_____	_____✓
6. Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	_____	_____✓
7. Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.	_____	_____✓
8. Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.	_____	_____✓

9. Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker. \_\_\_\_\_ ✓

Please list the full name and address of anyone assisting you in the preparation of this claim form: Brian J. Neville, Lax & Neville, LLP, 1412 Broadway, Suite 1407, New York, NY 10018

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

**IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.**

**THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.**

Date 4/1/09 Signature *Laune*

Date \_\_\_\_\_ Signature \_\_\_\_\_

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

**This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:**

Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC  
Claims Processing Center  
2100 McKinney Ave., Suite 800  
Dallas, TX 75201

# LAX NEVILLE

**LAX & NEVILLE, LLP**  
**ATTORNEYS AT LAW**

**BARRY R. LAX**  
**BRIAN J. NEVILLE**  
**DAVID S. RICH**

1412 Broadway, Suite 1407  
New York, NY 10018  
Tel (212) 696-1999  
Fax (212) 566-4531  
www.laxneville.com

**SANDRA P. ESPINOSA**

April 2, 2009

**VIA CERTIFIED MAIL**  
**RETURNED RECEIPT REQUESTED**

Irving H. Picard  
Trustee for Bernard L. Madoff Investment Securities LLC  
Claims Processing Center  
2100 McKinney Avenue, Suite 800  
Dallas, TX 75201

RE: Laurence Kaye /Bernard L. Madoff Investment Securities LLC

Dear Mr. Picard:

This firm represents Laurence Kaye and has assisted him in preparation of his Bernard L. Madoff Investment Securities LLC ("Madoff Securities") SIPC Customer Claim Form. Enclosed herein you will find a completed Customer Claim Form for Laurence Kaye's IRA account. Additionally, below is a description of the relationship between Laurence Kaye and Madoff Securities. The statements made in this letter are true and accurate to best of our knowledge and belief, and are being provided to support Laurence Kaye's SIPC claims.

Laurence Kaye is 66 years old and is married to Suzanne Kaye. In or about 1998, Laurence Kaye opened a Roth IRA account with Madoff Securities. Laurence Kaye contributed \$147,876.60 to the Roth IRA account in 1998. Laurence Kaye did not make any additional contributions to the account, and did not withdraw any funds from his Roth IRA account.

**LAX**  
**NEVILLE**

Irving H. Picard  
April 2, 2009  
Page 2 of 3

Laurence Kaye invested over 95% of his retirement funds with Madoff Securities and has lost it all. The Kaye's are being forced to sell their home. Their bank accounts are depleted, and their only current source of income is social security benefits and a small pension. The Kaye's exemplify the severity, scope and hardship caused by the Madoff fraud. Please, any expedited determination of this claim is greatly appreciated.

Laurence Kaye received account statements and confirmations from Madoff Securities reflecting the securities purchased and showing he held securities in his IRA account. Attached hereto as Exhibit A is the Madoff Securities account statement for Laurence Kaye's IRA account, dated November 30, 2008. Also attached hereto as Exhibit B are Madoff Securities November 2008 confirmations, which list SIPC membership, for Laurence Kaye's IRA account. Based upon the account statements and the confirmations, Laurence Kaye at all times expected to have those securities in his IRA account. The balance in Laurence Kaye's IRA account as of the filing date is \$531,692.94. See the November 30, 2008 account statement as Exhibit A.

#### CONCLUSION

Laurence Kaye is seeking the full protection of SIPA for his account as follows:

- Account No: 1-K0142-3-0	Laurence Kaye IRA Account
	Total = \$531,692.94

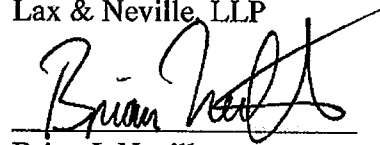
**LAX  
NEVILLE**

Irving H. Picard  
April 2, 2009  
Page 3 of 3

If there are any questions regarding this matter or if you require additional documents and information, please do not hesitate to contact me. Thank you.

Very truly yours,  
Lax & Neville, LLP

By:

  
Brian J. Neville

ENC.



# **EXHIBIT A**

AMULATED WITH  
Madoff Securities International Limited  
12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7493 6222

1

11/30/08

YOUR TAX PAYER IDENTIFICATION NUMBER  
\*\*\*\*\*6253

YOUR ACCOUNT NUMBER  
1-K0142-3-0

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061

BERNARD L. MADOFF  
INVESTMENT SECURITIES LLC  
New York □ London

\*\*DUPLICATE\*\* FOR ACCOUNT NTC & CO.  
LAURENCE KAYE

275 PUFFIN COURT  
FOSTER CITY

CA 94404

DATE	BOUGHT RECEIVED	SOLD RECEIVED	TIN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12	330			BALANCE FORWARD		29,006.31	
11/12	286		235	HEWLETT PACKARD CO	34.900	11,530.00	
11/12	187		4059	WAL-MART STORES INC	55.830	15,978.38	
11/12	693		4561	INTERNATIONAL BUSINESS MACHS	87.270	16,326.49	
11/12	759		8385	EXXON MOBIL CORP	72.880	50,532.84	
11/12	363		8887	INTEL CORP	14.510	11,043.09	
11/12	495		13213	JOHNSON & JOHNSON	59.590	21,641.54	
11/12	264		17538	J.P. MORGAN CHASE & CO	38.530	19,091.35	
11/12	154		21864	COCA COLA CO	44.660	11,800.24	
11/12	286		26190	MODNATEDS CORP	55.370	8,532.98	
11/12	1,045		30516	HEXCO & CO	28.550	8,176.30	
11/12	528		34842	MICROSOFT CORP	21.810	22,832.45	
11/12	209		39168	ORACLE CORPORATION	17.300	9,155.40	
11/12	121		52166	PEPSICO INC	56.410	11,797.69	
11/12	891		52648	APPLE INC	108.780	12,198.38	
11/12	209		56472	PFIZER INC	16.940	15,128.54	
11/12	396		56974	ABBOTT LABORATORIES	54.610	11,421.49	
11/12	143		60798	PROCTER & GAMBLE CO	64.080	25,390.68	
11/12	275		61300	ANGEN INC	59.168	8,464.88	
11/12	660		65124	PHILLIP MORRIS INTERNATIONAL	43.600	12,001.00	
11/12	220		65626	BANK OF AMERICA	21.590	14,275.40	
11/12	715		69450	QUALCOMM INC	33.770	7,437.40	
11/12	165		69952	CITY GROUP INC	12.510	8,972.65	
11/12			73776	SCHLUMBERGER LTD	49.480	8,170.20	
CONTINUED ON PAGE 2							



BERNARD L. MADOFF  
INVESTMENT SECURITIES LLC  
New York □ London

\*\*DUPLICATE\*\* FOR ACCOUNT NTC & CO.  
LAURENCE KAYE

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061

275 PUFFIN COURT  
FOSTER CITY

CA 94404

Associated with  
Madoff Securities International Limited  
12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7493 6222

PERIOD ENDING  
11/30/08

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2

YOUR ACCOUNT NUMBER  
1-K0142-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER  
\*\*\*\*\*6253

08-01789-cgm

Doc 374-2 Pg 1 of 3

Filed 08/20/09

Entered 08/20/09 17:29:52

Exhibit B

DATE	BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12	396		74278	COMCAST CORP CL A	16.510	6,552.96	
11/12	781		78102	AT&T INC	27	21,118.00	
11/12	198		78604	CONG PHILIPS	52.510	10,403.98	
11/12	132		82428	UNITED PARCEL SVC INC CLASS B	52.040	6,874.28	
11/12	803		82930	CISCO SYSTEMS INC	18.730	13,466.19	
11/12	231		86754	U S BANCORP	29.530	6,830.43	
11/12	275		87256	CHEVRON CORP	73.430	20,204.25	
11/12	132		91080	UNITED TECHNOLOGIES CORP	53.160	7,022.12	
11/12	1,397		91582	GENERAL ELECTRIC CO	19.630	27,478.11	
11/12	374		95406	VERIZON COMMUNICATIONS	30.410	11,387.34	
11/12	33		95908	GOOGLE	337.400	11,135.20	
11/12	462		99732	WELLS FARGO & CO NEW	29.800	13,785.60	
11/12		450,000	22343	U S TREASURY BILL DUE 2/12/2009	99.936		449,712.00
11/12				FIDELITY SPARTAN 2/12/2009	DIV		776.45
11/12		64,673	17443	U S TREASURY MONEY MARKET DIV 11/12/08	1		64,673.00
11/12	14,083		26812	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	14,083.00	
11/19				FIDELITY SPARTAN U S TREASURY MONEY MARKET DIV 11/19/08	DIV		1.00
				CONTINUED ON PAGE 3			



**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York ☐ London

**\*\*DUPLICATE\*\* FOR ACCOUNT NTC & CO.**  
**LAURENCE KAYE**

**275 PUFFIN COURT**  
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**Fax (212) 838-4061**

**Madoff Securities International Limited**  
**12 Berkeley Street**  
**Mayfair, London W1J 8DT**  
**Tel 020 7493 6222**

**PERIOD ENDING**  
**11/30/08**

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**3**

**YOUR ACCOUNT NUMBER**  
**1-K0142-3-0**

**YOUR TAX PAYER IDENTIFICATION NUMBER**  
**\*\*\*\*\*6253**

08-01789-cgm

Doc 374-2

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Entered 08/20/09 17:29:52

Exhibit B

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DATE	BOUGHT RECEIVED	SOLD REQUIRED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/19		14,083	52230	FIDELITY SPARTAN	1		14,083.00
11/19	25,008		56793	U S TREASURY MONEY MARKET	99.976	24,981.50	
11/19	22,059		61269	U S TREASURY DEEL DUE 03/26/2009 3/26/2009	1	22,059.00	
				FIDELITY SPARTAN			
				U S TREASURY MONEY MARKET			
				NEW BALANCE		59,740.46	
				SECURITY POSITIONS	MKT PRICE		
	781			APPL INC	28.560		
	209			ABBOTT LABORATORIES	52.390		
	143			AMGEN INC	55.540		
	121			APPLE INC	92.670		
	660			BANK OF AMERICA	16.250		
	275			CHEVRON CORP	79.010		
	803			CISCO SYSTEMS INC	16.540		
	715			CLIT GROUP INC	8.290		
	264			COCA-COLA CO	46.870		
	396			COMCAST CORP	17.340		
				CL A			
	198			CONGOCOPHILIPS	52.520		
	693			EXXON MOBILE CORP	80.150		
	1,397			GENERAL ELECTRIC CO	17.170		
				CONTINUED ON PAGE 4			

**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York ☐ London

\*\*DUPLICATE\*\* FOR ACCOUNT NTC & CO.  
LAURENCE KAYE

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4

11/30/08

YOUR TAX PAYER IDENTIFICATION NUMBER  
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YOUR ACCOUNT NUMBER  
1-K0142-3-0

DATE	BOUGHT RECEIVED	SOLO DELIVERED	TIN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
	33			GOOGLE	292.960		
	330			HEWLETT PACKARD CO	35.280		
	759			INTEL CORP	13.800		
	187			INTERNATIONAL BUSINESS MACHS	81.600		
	495			J.P. MORGAN CHASE & CO	31.660		
	363			JOHNSON & JOHNSON	58.580		
	154			MCDONALD'S CORP	58.750		
	286			MERCK & CO	26.720		
	1,045			MICROSOFT CORP	20.220		
	528			ORACLE CORPORATION	16.090		
	209			PEPSICO INC	56.700		
	891			PEPPER INC	16.430		
	275			PHILLIP MORRIS INTERNATIONAL	42.160		
	396			PROCTER & GAMBLE CO	64.350		
	220			QUALCOMM INC	33.570		
	165			SCHLUMBERGER LTD	50.740		
	22,059			FIDELITY SPARTAN	1		
	231			U S TREASURY MONEY MARKET	26.980		
	132			U S BANK CORP	57.600		
				UNITED PARCEL SVC INC			
	25,000			CLASS B	99.971		
				U S TREASURY BILL			
				DUE 03/26/2009			
				3/26/2009			
	132			UNITED TECHNOLOGIES CORP	48.530		
				CONTINUED ON PAGE 5			

**Madoff Securities International Limited**  
12 Berkeley Street  
Mayfair, London W1J 8DT  
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**885 Third Avenue  
New York, NY 10022  
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800 334-1343  
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**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York ☐ London

LAURENCE KAYE  
FOR ACCOUNT NTC & CO.  
\*\*DUPLICATE\*\*

275 PUFFIN COURT  
FOSTER CITY

CA 96406

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11/30/08

YOUR TAXPAYER IDENTIFICATION NUMBER  
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YOUR ACCOUNT NUMBER  
1-K0142-3-0

DATE	BOUGHT RECEIVED	SOLD DELIVERED	ITIN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
	374			VERIZON COMMUNICATIONS	32.650		
	286			WAL-MART STORES INC	55.880		
	462			WELLS FARGO & CO NEW	28.890		
				MARKET VALUE OF SECURITIES			
				LONG			
				531,692.94			
				SHORT			

3,417-~~6~~  
2,823,139-18

**Madoff Securities International Limited**  
12 Berkeley Street  
Mayfair, London W1J 8DT  
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11/30/08

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1-K0142-3-0

**885 Third Avenue  
New York, NY 10022  
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**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York ☐ London

\*\*\*DUPLICATE\*\*  
LAURENCE KAYE  
FOR ACCOUNT NYC & CO.

275 PUFFIN COURT  
FOSTER CITY

CA 94404

DATE	BOUGHT RECEIVED	SOLD DELIVERED	TIN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
YEAR-TO-DATE SUMMARY							
DIVIDENDS							
GROSS PROCEEDS FROM SALES							
<div> <div>3,417.00</div> <div>2,823,139.18</div> </div>							



**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York ☐ London

\*\*DUPLICATE\*\* FOR ACCOUNT NYC & CO.  
LAURENCE KAYE

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FOSTER CITY

CA 94404

ANNEXED WITH  
Madoff Securities International Limited  
12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7493 6222

PAGE  
1

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1-K0142-4-0

YOUR TAX PAYER IDENTIFICATION NUMBER  
\*\*\*\*\*6253

08-01789-cgm Doc 374-0 Filed 08/20/09 Entered 08/20/09 17:29:52

Exhibit B

DATE	BOUGHT RECEIVED	SOLD DELIVERED	TEN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12			11 43494	BALANCE FORWARD			29,007.00
11/12	11		47820	S & P 100 INDEX NOVEMBER 450 CALL	15.800		17,369.00
11/19			33924	S & P 100 INDEX NOVEMBER 450 PUT	17.800	19,591.00	
11/19	11		38249	S & P 100 INDEX DECEMBER 430 CALL	26		
11/19	11		42574	S & P 100 INDEX DECEMBER 420 PUT	30	33,011.00	
11/19			46899	S & P 100 INDEX NOVEMBER 450 CALL	3	3,211.00	
11/19				S & P 100 INDEX NOVEMBER 450 PUT	37		40,689.00
				NEW BALANCE			59,741.00
				SECURITY POSITIONS			
	11			S & P 100 INDEX	MKT PRICE		
				DECEMBER 430 CALL	23.300		
	11			S & P 100 INDEX DECEMBER 420 PUT	16.500		
				MARKET VALUE OF SECURITIES			
				LONG			
				SHORT			
				18,150.00			
				25,630.00-			



# **EXHIBIT B**



88-01788.com Doc 374-2  
**BERNARD L. MADOFF**  
 INVESTMENT SECURITIES LLC  
 New York ☐ London

Filed 08/20/09

Entered 08/20/09 17:29:52

885 Third Avenue  
 New York, NY 10022  
 212 230-2424  
 800 334-1343  
 Fax 212 838-4061

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MEMBER:  
 FINRA NSX SIPC NSCC DTC

ORIGINATOR NO.		DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE	
0646			1-K0142-3	D	78102	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY				C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

LAURENCE KAYE  
 275 PUFFIN COURT  
 FOSTER CITY

CA 94404

\*\*\*\* DUPLICATE \*\*\*\*  
 ACCT OF/ NTC & CO.

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION				NET AMOUNT
SLD	781	00206R102	AT&T INC				21118.00

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
27.000	21087.00	31.00				

Affiliated with:  
**Madoff Securities International Limited**  
 12 Berkeley Street, Mayfair, London W1J 8DT. Tel 020-7493 6222  
 Member of The London Stock Exchange



**BERNARD L. MADOFF**  
 INVESTMENT SECURITIES LLC  
 New York ☐ London

MEMBER:  
 FINRA NSX SIPC NSCC DTC

885 Third Avenue  
 New York, NY 10022  
 212 230-2424  
 800 334-1343  
 Fax 212 838-4061

ORIGINATOR NO.		DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE	
0646			1-K0142-3	D	56974	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY				C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

LAURENCE KAYE  
 275 PUFFIN COURT  
 FOSTER CITY

CA 94404

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION				NET AMOUNT
SLD	209	002824100	ABBOTT LABORATORIES				11421.49

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
54.610	11413.49	8.00				

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ORIGINATOR NO.		DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	TR	CAP	SETT	TRADE DATE	SETTLEMENT DATE	
0646			1-K0142-3	D	61300	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY				C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	143	031162100	AMGEN INC	8464.88

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
59.160	8459.88	5.00				

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ORIGINATOR NO.		DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	TR	CAP	SETT	TRADE DATE	SETTLEMENT DATE	
0646			1-K0142-3	D	52648	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY				C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	121	037833100	APPLE INC	12198.38

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
100.780	12194.38	4.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	TR	CAP	SETT	TRADE DATE	SETTLEMENT DATE	
0646		1-K0142-3	D	65626	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.	CONTRA PARTY				C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION				NET AMOUNT
SLD	660	060505104	BANK OF AMERICA				14275.40
PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.	
21.590	14249.40	26.00					

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	TR	CAP	SETT	TRADE DATE	SETTLEMENT DATE	
0646		1-K0142-3	D	87256	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.	CONTRA PARTY				C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION				NET AMOUNT
SLD	275	166764100	CHEVRON CORP				20204.25
PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.	
73.430	20193.25	11.00					

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ORIGINATOR NO.		DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE
0646			1-K0142-3	D	82930	TR	CAP	SETT	11/06/08	11/12/08
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER			SPECIAL DELIVERY INSTRUCTIONS		
		LAURENCE KAYE 275 PUFFIN COURT FOSTER CITY CA 94404						***** DUPLICATE ***** ACCT OF/ NTC & CO.		

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	803	17275R102	CISCO SYSTEMS INC	13466.19

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
16.730	13434.19	32.00				

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ORIGINATOR NO.		DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE
0646			1-K0142-3	D	69952	TR	OAP	SETT	11/06/08	11/12/08
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER			SPECIAL DELIVERY INSTRUCTIONS		
		LAURENCE KAYE 275 PUFFIN COURT FOSTER CITY CA 94404						***** DUPLICATE ***** ACCT OF/ NTC & CO.		

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	715	172967101	CITI GROUP INC	8972.65

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
12.510	8944.65	28.00				

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ORIGINATOR NO.		DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE	
0646			1-K0142-3	D	21864	TR	CAP	SETT	11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY				C.H. NUMBER			SPECIAL DELIVERY INSTRUCTIONS		

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	264	191216100	COCA COLA CO	11800.24

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
44.660	11790.24	10.00				

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ORIGINATOR NO.		DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE	
0646			1-K0142-3	D	74278	TR	CAP	SETT	11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY				C.H. NUMBER			SPECIAL DELIVERY INSTRUCTIONS		

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	396	20030N101	COMCAST CORP CL A	6552.96

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
16.510	6537.96	15.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	TR	CAP	SETT	TRADE DATE	SETTLEMENT DATE
0646		1-K0142-3	D	78604	5	1		11/06/08	11/12/08
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS		

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION				NET AMOUNT
SLD	198	20825C104	CONOCOPHILIPS				10403.98
PRICE		PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
52.510		10396.98	7.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	TR	CAP	SETT	TRADE DATE	SETTLEMENT DATE
0646		1-K0142-3	D	08385	5	1		11/06/08	11/12/08
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS		

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION				NET AMOUNT
SLD	693	30231G102	EXXON MOBIL CORP				50532.84
PRICE		PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
72.880		50505.84	27.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE	
					TR	CAP	SETT			
0646		1-K0142-3	D	91582	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	1,397	369604103	GENERAL ELECTRIC CO	27478.11

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
19.630	27423.11	55.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE	
					TR	CAP	SETT			
0646		1-K0142-3	D	95908	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	33	38259P508	GOOGLE	11135.20

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
337.400	11134.20	1.00				

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ORIGINATOR NO.		DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE	
0646			1-K0142-3	D	00235	TR	CAP	SETT	11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER			SPECIAL DELIVERY INSTRUCTIONS			

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION				NET AMOUNT
SLD	330	428236103	HEWLETT PACKARD CO				11530.00

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
34.900	11517.00	13.00				

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ORIGINATOR NO.		DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE	
0646			1-K0142-3	D	08887	TR	CAP	SETT	11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER			SPECIAL DELIVERY INSTRUCTIONS			

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION				NET AMOUNT
SLD	759	458140100	INTEL CORP				11043.09

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
14.510	11013.09	30.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE	
					TR	CAP	SETT			
0646		1-K0142-3	D	04561	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	187	459200101	INTERNATIONAL BUSINESS MACHS	16326.49

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
87.270	16319.49	7.00				

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					TR	CAP	SETT			
0646		1-K0142-3	D	17538	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	495	46625H100	J.P. MORGAN CHASE & CO	19091.35

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
38.530	19072.35	19.00				

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0646			1-K0142-3	D	13213	TR	CAP	SETT	11/06/08	11/12/08
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER			SPECIAL DELIVERY INSTRUCTIONS		

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	363	478160104	JOHNSON & JOHNSON	21641.54

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
59.580	21627.54	14.00				

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0646			1-K0142-3	D	26190	TR	CAP	SETT	11/06/08	11/12/08
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER			SPECIAL DELIVERY INSTRUCTIONS		

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	154	580135101	MCDONALDS CORP	8532.98

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
55.370	8526.98	6.00				

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					CODES						
ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	TR	CAP	SETT	TRADE DATE	SETTLEMENT DATE		
0646		1-K0142-3	D	30516	5	1		11/06/08	11/12/08		
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS				

LAURENCE KAYE  
 275 PUFFIN COURT  
 FOSTER CITY

CA 94404

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION				NET AMOUNT
SLD	286	589331107	MERCK & CO				8176.30

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
28.550	8165.30	11.00				

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					CODES						
ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	TR	CAP	SETT	TRADE DATE	SETTLEMENT DATE		
0646		1-K0142-3	D	34842	5	1		11/06/08	11/12/08		
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS				

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION				NET AMOUNT
SLD	1,045	594918104	MICROSOFT CORP				22832.45

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
21.810	22791.45	41.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE	
					TR	CAP	SETT			
0646		1-K0142-3	D	39168	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION				NET AMOUNT
SLD	528	68389X105	ORACLE CORPORATION				9155.40
PRICE		PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
17.300		9134.40	21.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE	
					TR	CAP	SETT			
0646		1-K0142-3	D	52146	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION				NET AMOUNT
SLD	209	713448108	PEPSICO INC				11797.69
PRICE		PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
56.410		11789.69	8.00				

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212 230-2424  
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ORIGINATOR NO.		DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE	
0646			1-K0142-3	D	56472	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY				C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION				NET AMOUNT
SLD	891	717081103	PFIZER INC				15128.54
PRICE		PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
16.940		15093.54	35.00				

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ORIGINATOR NO.		DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE	
0646			1-K0142-3	D	65124	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY				C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION				NET AMOUNT
SLD	275	718172109	PHILLIP MORRIS INTERNATIONAL				12001.00
PRICE		PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
43.600		11990.00	11.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE	
					TR	CAP	SETT			
0646		1-K0142-3	D	60798	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION				NET AMOUNT
SLD	396	742718109	PROCTER & GAMBLE CO				25390.68

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
64.080	25375.68	15.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE	
					TR	CAP	SETT			
0646		1-K0142-3	D	69450	5	1		11/06/08	11/12/08	
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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION				NET AMOUNT
SLD	220	747525103	QUALCOMM INC				7437.40

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
33.770	7429.40	8.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE	
					TR	CAP	SETT			
0646		1-K0142-3	D	73776	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION				NET AMOUNT
SLD	165	806857108	SCHLUMBERGER LTD				8170.20

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
49.480	8164.20	6.00				

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					TR	CAP	SETT			
0646		1-K0142-3	D	86754	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION				NET AMOUNT
SLD	231	902973304	U S BANCORP				6830.43

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
29.530	6821.43	9.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE	
					TR	CAP	SETT			
0646		1-K0142-3	D	82428	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION				NET AMOUNT
SLD	132	911312106	UNITED PARCEL SVC INC CLASS B				6874.28

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
52.040	6869.28	5.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE	
					TR	CAP	SETT			
0646		1-K0142-3	D	91080	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION				NET AMOUNT
SLD	132	913017109	UNITED TECHNOLOGIES CORP				7022.12

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
53.160	7017.12	5.00				

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ORIGINATOR NO.		DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	TR	CAP	SETT	TRADE DATE	SETTLEMENT DATE	
0646			1-K0142-3	D	95406	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER			SPECIAL DELIVERY INSTRUCTIONS			

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	374	92343V104	VERIZON COMMUNICATIONS	11387.34

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
30.410	11373.34	14.00				

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ORIGINATOR NO.		DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	TR	CAP	SETT	TRADE DATE	SETTLEMENT DATE	
0646			1-K0142-3	D	04059	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER			SPECIAL DELIVERY INSTRUCTIONS			

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	286	931142103	WAL-MART STORES INC	15978.38

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
55.830	15967.38	11.00				

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Exhibit 15  
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ORIGINATOR NO.		DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	TR	CAP	SETT	TRADE DATE	SETTLEMENT DATE	
0646			1-K0142-3	D	99732	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER			SPECIAL DELIVERY INSTRUCTIONS			

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	462	949746101	WELLS FARGO & CO NEW	13785.60

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
29.800	13767.60	18.00				

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ORIGINATOR NO.		DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	TR	CAP	SETT	TRADE DATE	SETTLEMENT DATE	
0646			1-K0142-4	R	43494	8	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER			SPECIAL DELIVERY INSTRUCTIONS			

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
BOT	11	783790KLF	S & P 100 INDEX NOVEMBER 460 CALL	17369.00

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
15.800	17380.00	11.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	TR	CAP	SETT	TRADE DATE	SETTLEMENT DATE	
0646		1-K0142-4	D	47820	8	1		11/06/08	11/12/08	
IDENTIFICATION NO.	CONTRA PARTY				C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION				NET AMOUNT
SLD	11	783790WJ1	S & P 100 INDEX NOVEMBER 450 PUT				19591.00
PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.	
17.800	19580.00	11.00					

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	TR	CAP	SETT	TRADE DATE	SETTLEMENT DATE	
0646		1-K0142-3	R	22343	5	1		11/10/08	11/12/08	
IDENTIFICATION NO.	CONTRA PARTY				C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION				NET AMOUNT
BOT	450,000	912795K34	U S TREASURY BILL DUE 2/12/2009 2/12/2009				449712.00
PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.	
99.936	449712.00						

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE
0646		1-K0142-4	R	46899	TR	CAP	SETT	11/14/08	11/19/08
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS		

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
BOT	11	783790WJ1	S & P 100 INDEX NOVEMBER 450 PUT	40689.00

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
37.000	40700.00	11.00				

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 FINRA NSX SIPC NSCC DTC

885 Third Avenue  
 New York, NY 10022  
 212 230-2424  
 800 334-1343  
 Fax 212 838-4061

ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE
0646		1-K0142-4	D	42574	TR	CAP	SETT	11/14/08	11/19/08
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS		

LAURENCE KAYE  
 275 PUFFIN COURT  
 FOSTER CITY CA 94404

\*\*\*\* DUPLICATE \*\*\*\*  
 ACCT OF/ NTC & CO.

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	11	783790KLF	S & P 100 INDEX NOVEMBER 460 CALL	3311.00

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
3.000	3300.00	11.00				

Affiliated with:  
**Madoff Securities International Limited**  
 12 Berkeley Street, Mayfair, London W1J 8DT. Tel 020-7493 6222  
 Member of The London Stock Exchange

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 CONFIRMATION (Please see reverse for further details.)

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**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York ☐ London

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE	
					TR	CAP	SETT			
0646		1-K0142-4	R	33924	8	1		11/14/08	11/19/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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275 PUFFIN COURT  
FOSTER CITY

CA 94404

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION		NET AMOUNT
BOT	11	783790LFF	S & P 100 INDEX DECEMBER 430 CALL		28589.00

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
26.000	28600.00	11.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE	
					TR	CAP	SETT			
0646		1-K0142-4	D	38249	8	1		11/14/08	11/19/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION		NET AMOUNT
SLD	11	783790XD4	S & P 100 INDEX DECEMBER 420 PUT		33011.00

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
30.000	33000.00	11.00				

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ORIGINATOR NO.		DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE
0646			1-K0142-3	D	56793	TR	CAP	SETT	11/19/08	11/19/08
IDENTIFICATION NO.		CONTRA PARTY				C.H. NUMBER			SPECIAL DELIVERY INSTRUCTIONS	

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\*\*\*\* DUPLICATE \*\*\*\*

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	25,000	912795K91	U S TREASURY BILL DUE 03/26/2009 Y.T.M. .21% 3/26/2009	24981.50

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
99.926	24981.50					

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